



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/749,306	12/27/2000	Tsutomu Sawada	8279.297US01

Curtis B. Hamre  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
MINNEAPOLIS, MN 55402-0903



CONFIRMATION NO. 5426

## FORMALITIES LETTER



\*OC00000005743822\*

Date Mailed: 02/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- **The balance due by applicant is \$ 970.**

03/06/2001 MHARMOL 00000054 09749306

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:139	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SAWADA et al.  
Serial No.: 09/749306  
Filed: December 27, 2000

Examiner: unknown  
Group Art Unit: unknown  
Docket: 8279.297US01

Title: DISK CARTRIDGE



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on February 26, 2001.

By:   
Heidi Hanson

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

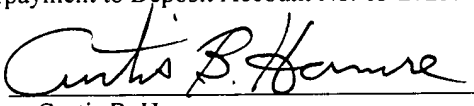
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Information Disclosure Statement, Form 1449, 2 Reference(s)
- ☒ Check(s) in the amount of \$710.00, \$130.00, \$130.00 for Filing Fee, Missing Parts Fee, Non-English Specification Fee
- ☒ Other: Submission of Missing Parts, Notification to File Missing Parts of Nonprovisional Application, English Translation of application 09/749306, Verification of English translation, Preliminary Amendment, Amended Drawing Fig. 4
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By:   
Name: Curtis B. Hamre  
Reg. No.: 29,165  
CBH/hjh





S/N 09/749306

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SAWADA et al.	Examiner:	unknown
Serial No.:	09/749306	Group Art Unit:	unknown
Filed:	12/27/2000	Docket No.:	8279.297US01
Title:	DISK CARTRIDGE		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on February 26, 2001.

By: Heidi Hanson  
Name: Heidi Hanson

SUBMISSION OF MISSING PARTS

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney and English translation. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee, as well as \$710.00 for the filing fee, which was deferred at filing, and \$130.00 for a non-English specification.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: February 26, 2001

By: Curtis B. Hamre  
Curtis B. Hamre  
Reg. No. 29,165

CBH/hjh